Investor Complaints & Grievances
Broking and Depository
Annexure-B/C

October - 2026

SN	Received from	Carried forward From previous month	Received During the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for morethan3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	0	0	0	0	0	0
3	Stock Exchanges/ Depositories	0	0	0	0	0	0	0
4	Other Sources(if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2026	0	0	0	0
2	May-2026	0	0	0	0
3	Jun-2026	0	0	0	0
4	Jul-2026	0	0	0	0
5	Aug-2026	0	0	0	0
6	Sep-2026	0	0	0	0
7	Oct-2026	0	0	0	0
8	Nov-2026				
9	Dec-2026				
10	Jan-2027				
11	Feb-2027				
12	Mar-2027				
	GrandTotal				

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2022-23	0	2	2	0
2	2023-24	0	0	0	0
3	2024-25	0	0	0	0
4	2025-26	0	0	0	0
5	2026-27				
	GrandTotal	0	0	0	0

SPS Share Brokers Private Limited
4C VULCAN INSURANCE BUILDING 4TH FLOOR NEXT TO GAYLORD RESTAURANT CHURCHGATE MUMBAI –400020
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